

## G. Learning the Mathematical Structure of QM from Spin

- All information of a system is given by the wavefunction

### In context of spin

- All information of a spin- $\frac{1}{2}$  system<sup>+</sup> is given by its state expressed as a state vector

$\begin{pmatrix} c \\ d \end{pmatrix}$

For  $S=\frac{1}{2}$  spin, it has two entries just like a vector [but entries can be complex]

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<sup>+</sup> For particle-in-a-box,  $\psi(x)$  is more complicated because we need to know  $\psi(x_1), \psi(x_2), \dots, \psi(x_n), \dots$  infinitely many values! So it is like a vector with infinitely many components (& we have infinitely many allowed energies).

- Physical Quantities are represented by Hermitian Operators

In context of spin

- $\hat{S}_x, \hat{S}_y, \hat{S}_z, \hat{S}^2$  are Hermitian
  - $\hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  is a Hermitian Matrix  
 $(M_{nm} = M_{mn}^*) \quad (33)$
- Same for other quantities.
- $\hat{S}_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$  has  $M_{12} = M_{21}^*$  (also  $M_{mn} = M_{nm}^*$ )

- Eigenstates of Hermitian Operator are orthogonal

In context of Spin

$$\hat{S}_z : \frac{\hbar}{2} \quad \alpha_z = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\text{Inner product } \langle \alpha_z | \beta_z \rangle$$

$$(1^* \ 0^*) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = (1 \ 0) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0$$

orthogonal

$$\hat{S}_y : \frac{\hbar}{2} \quad \alpha_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$$

$$\langle \alpha_y | \beta_y \rangle = \frac{1}{2} (1^* \ i^*) \begin{pmatrix} 1 \\ -i \end{pmatrix} = \frac{1}{\sqrt{2}} (1 - i) \begin{pmatrix} 1 \\ -i \end{pmatrix} = 0$$

orthogonal

$$\hat{S}_x : \frac{\hbar}{2} \quad \alpha_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\langle \alpha_x | \beta_x \rangle = \frac{1}{2} (1 \ 1) \begin{pmatrix} -1 \\ 1 \end{pmatrix} = 0$$

orthogonal

$$-\frac{\hbar}{2} \quad \beta_x = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 1 \end{pmatrix}$$

- Eigenstates of an Operator can be used to express a general state

In context of Spin

$$\begin{pmatrix} c \\ d \end{pmatrix} = c \begin{pmatrix} 1 \\ 0 \end{pmatrix} + d \begin{pmatrix} 0 \\ 1 \end{pmatrix} = c \cdot \alpha_z + d \cdot \beta_z \quad (34)$$

general state

$\hat{S}_z$ 's eigenstates

[in general,  $c$  &  $d$  can be complex]

Why can this be done?

Mathematics:  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  form a complete set in the (mathematical) space of spin- $\frac{1}{2}$  problems

OR  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  span the space

$\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  are used as basis vectors in Eq.(34)

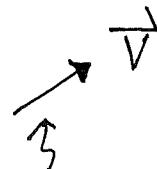
With a choice of basis, what does a general state  $|\psi\rangle$  mean?

- General state  $|\psi\rangle$ , it is free from a choice of basis (i.e. free from representation)
- Make a choice of basis of  $\alpha_z$  and  $\beta_z$  (eigenstates of  $\hat{S}_z$ )
- The abstract  $|\psi\rangle$  becomes  $\begin{pmatrix} c \\ d \end{pmatrix}$  (see Eq.(31))
- $|\psi\rangle = \underset{\uparrow}{c} \cdot \alpha_z + \underset{\uparrow}{d} \cdot \beta_z \quad \text{or} \quad |\psi\rangle = c |\uparrow\rangle_z + d |\downarrow\rangle_z \quad (34)$   
 read out coefficients
- The abstract  $|\psi\rangle$  becomes  $\begin{pmatrix} c \\ d \end{pmatrix} \leftarrow$  stacking up coefficients (35)  
 general spin- $\frac{1}{2}$  state in expanding  $|\psi\rangle$  in the  
 (general wavefunction) chosen basis

$\therefore$  When we say the general state is  $\begin{pmatrix} c \\ d \end{pmatrix}$ , it is accompanied by a choice of basis [ $\therefore$  you should look for the basis set being used]

A useful analogy to make things less abstract

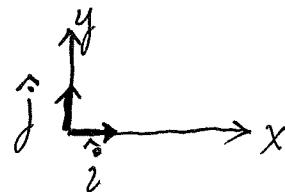
An analogy : a vector



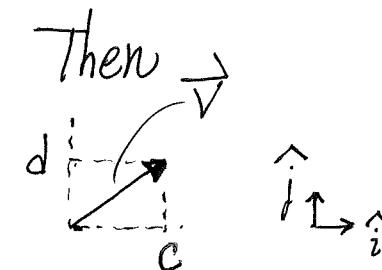
a general vector (abstract)

[there is a length]  
there is a direction

To describe it



Choose a basis set, e.g.  
 $\hat{i}, \hat{j}$  unit vectors



$$\vec{V} = c \hat{i} + d \hat{j}$$

(c.f. Eq. (32))

then  $\vec{V}$  can be expressed as  
 $\begin{pmatrix} c \\ d \end{pmatrix}$  or  $(c \ d)$

QM is slightly more complicated, as  $c$  &  $d$  can be complex.

Could expand  $|\psi\rangle$  using another basis set [e.g.  $[\hat{O}_x]$  eigenvectors]

$$\begin{pmatrix} c \\ d \end{pmatrix} = \frac{c+d}{\sqrt{2}} \cdot \underbrace{\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}}_{\alpha_x} - \frac{(c-d)}{\sqrt{2}} \cdot \underbrace{\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}}_{\beta_x} \quad (30)$$

$$|\psi\rangle = c' \alpha_x + d' \beta_x \quad (36)$$

If we announce (choose) the basis set is  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ , then the general state  $|\psi\rangle$  is described by the state vector ("wavefunction")

$$\begin{pmatrix} c' \\ d' \end{pmatrix} = \begin{pmatrix} \frac{c+d}{\sqrt{2}} \\ \frac{(c-d)}{\sqrt{2}} \end{pmatrix} \quad (37)$$

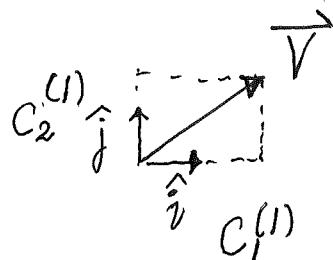
in the basis of  $\alpha_x$  and  $\beta_x$

## An analogy: A vector

a vector (general)  
[direction, magnitude]

basis set #1      basis set #2

(38)

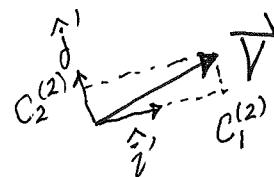


$$\vec{V} = C_1^{(1)} \hat{i} + C_2^{(1)} \hat{j}$$

basis set #1      basis set #1  
basis set #2

$\vec{V}$  described by  

$$\begin{pmatrix} C_1^{(1)} \\ C_2^{(1)} \end{pmatrix}$$



$$\vec{V} = C_1^{(2)} \hat{i}' + C_2^{(2)} \hat{j}'$$

basis set #2      basis set #2  
basis set #1

Same  $\vec{V}$  described by  

$$\begin{pmatrix} C_1^{(2)} \\ C_2^{(2)} \end{pmatrix}$$

How to relate  $(C_1^{(2)}, C_2^{(2)})$  and  $(C_1^{(1)}, C_2^{(1)})$ ?



Of course, we can express  $\{\hat{i}, \hat{j}\}$  in terms of  $\{\hat{i}', \hat{j}'\}$   
i.e. Transformation (change basis)

What for?

- Given  $|\psi\rangle$ , measure  $[\hat{S}_z]$

$$|\psi\rangle = c \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} + d \cdot \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$|c|^2$  = prob. of getting  $S_z = +\frac{\hbar}{2}$

$|d|^2$  = prob. of getting  $S_z = -\frac{\hbar}{2}$

$$\langle S_z \rangle = |c|^2 \frac{\hbar}{2} - |d|^2 \frac{\hbar}{2}$$

expectation value

- Given  $|\psi\rangle$ , measure  $[\hat{S}_x]$

$$|\psi\rangle = c' \cdot \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + d' \cdot \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$|c'|^2$  = prob. of getting  $S_x = +\frac{\hbar}{2}$

$$\begin{aligned} \therefore \langle S_x \rangle &= \underbrace{\frac{(c+d)(c^*+d^*)}{2}}_{|c'|^2} \left( \frac{\hbar}{2} \right) + \underbrace{\frac{(c-d)(c^*-d^*)}{2}}_{|d'|^2} \left( -\frac{\hbar}{2} \right) = \frac{\hbar}{2} [cd^* + dc^*] \end{aligned}$$

expectation value

These expectation values can be found by plugging in formula

$$\langle \hat{A} \rangle = \int \bar{\Psi}^* \hat{A} \bar{\Psi} dz$$

$$\bar{\Psi} \rightarrow \begin{pmatrix} c \\ d \end{pmatrix} ; \quad \hat{A} \xrightarrow{\text{operator}} \begin{matrix} \hat{S}_z \\ \hat{S}_x \end{matrix} ; \quad \int \bar{\Psi}^* \hat{A} \bar{\Psi} dz \rightarrow (c^* d^*) \underbrace{\begin{pmatrix} 2 \times 2 \\ \text{operator} \end{pmatrix}}_{\text{operator}} \begin{pmatrix} c \\ d \end{pmatrix}$$


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Check:  $\langle S_z \rangle = (c^* d^*) \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} c \\ d \end{pmatrix} = (c^* d^*) \begin{pmatrix} c \\ -d \end{pmatrix} \frac{\hbar}{2} = (|c|^2 - |d|^2) \frac{\hbar}{2}$

$$\langle S_x \rangle = (c^* d^*) \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} c \\ d \end{pmatrix} = (c^* d^*) \begin{pmatrix} d \\ c \end{pmatrix} \frac{\hbar}{2} = (cd^* + dc^*) \frac{\hbar}{2}$$

[Same results as on last page]

Behind these manipulations are the QM postulates...

- In any measurement of the observable quantity represented by  $\hat{A}$ , the only values that will ever be observed are the eigenvalues  $a_n$  of operator  $\hat{A}$
- Given a normalized state  $\Psi$ , the average value (expectation value) of the observable quantity represented by  $\hat{A}$  is given by

$$\langle \hat{A} \rangle = \int_{-\infty}^{\infty} \Psi^* \hat{A} \Psi dz$$